



Renaissance Regulatory Services, Inc. ("RRS") Information Security Program includes ongoing consideration of risks in each relevant area of its operations and incorporates commercially reasonable measures with respect to:

1. Security controls utilizing SonicWall network firewall and services, and Microsoft Defender, which includes antivirus and antimalware, exploit protection, network intrusion detection and prevention, cloud app security, endpoint and identity protection, and additional integrated cybersecurity tools
2. Limiting access to the internal network, servers, and cloud services to approved users and devices
3. Information storage, transmission, and disposal
4. Physical and technological access controls
5. Employee training, management, background checks, and certification
6. Utilizing Microsoft 365 Enterprise services for proper remote storage of corporate and client data
7. Requiring Multi-Factor Authentication for personal computers and Microsoft cloud services, as well as enforcing disk drive encryption
8. Detecting, preventing, and responding to attacks, intrusions, or other systems failures, covering both emerging threats and real-time incidents
9. Ongoing monitoring of the effectiveness of key controls, systems, and procedures
10. Oversight of any third party retained by RRS, including due diligence in the selection of such third parties and contractual requirements for such third parties to implement and maintain security measures consistent with this Agreement
11. Treating all corporate and client data as highly confidential
12. Prohibiting the use of non-approved software, personal e-mail accounts, and personal devices
13. Periodic evaluation and adjustment of the Information Security Program
14. Segregated guest (outside of network firewall) and internal Wi-Fi Internet access points

Additional information on Microsoft's security controls and audit can be found at:

- [Microsoft SOC 1, 2, and 3 Reports](#)
- [Microsoft Pen Test and Security Assessments](#)

Information relating to this plan's implementation is available to RRS Clients at the Company's main office.

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