



Renaissance Regulatory Services

Fact Sheet

*Compliance
Through Reliance*

Due Diligence

Renaissance Regulatory Services, Inc. (RRS) provides a comprehensive suite of services designed to help broker-dealers, investment advisers and other financial institutions establish comprehensive due diligence procedures that are customized for their specific needs. Our professionals have extensive regulatory and industry experience examining and investigating financial services firms and issuers. Our comprehensive examination program includes a GAP analysis of your firm's due diligence process, management oversight, investment committees and verification practices. RRS can also support your firm's due diligence process including areas such as acquisitions, funds placement, and custody arrangements.

Our services include comprehensive testing and verification of your:

- Written Policies and Procedures
- Third Party Custodial and Administrator Arrangements
- Asset Verification
- Offshore Custody and Administration
- Officer/Director Background Checks
- Historic Return Analysis
- Auditor Independence
- Investment Committee Oversight

About Us

Renaissance Regulatory Services, Inc. was built on the premise that the financial services industry is constantly changing, so our clients need the most contemporary tools and insights in order to adapt to the unique compliance and operational challenges that arise in this ever-evolving environment.

Our purpose today is the same as it was on the day we were founded in February 2006: to empower our clients to Manage Through Change, with comprehensive and proactive solutions and audits designed by Real, Reliable consultants, and tailored to our clients' Strategic needs.

Contact Us

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