



Renaissance Regulatory Services

Mutual Fund Fact Sheet

*Compliance
Through Reliance*

Independent AML Testing

The USA PATRIOT Act and the Bank Secrecy Act require an independent testing of a Mutual Fund's anti-money laundering compliance program (AML). RRS' AML compliance testing service offers an in-depth review of your Mutual Fund's AML program to measure the effectiveness in meeting all USA PATRIOT Act, Bank Secrecy Act, OFAC and FinCEN requirements.

RRS AML Testing program covers all critical AML requirements, including:

- OFAC compliance
- FinCEN compliance
- Customer identification program
- Private banking, correspondent, and foreign bank account due diligence
- Detection and reporting of suspicious activity (SAR-SFFilings)
- Currency transaction reporting
- Notification and escalation procedures
- AML

About Us

Renaissance Regulatory Services, Inc. was built on the premise that the financial services industry is constantly changing, so our clients need the most contemporary tools and insights in order to adapt to the unique compliance and operational challenges that arise in this ever-evolving environment.

Our purpose today is the same as it was on the day we were founded in February 2006: to empower our clients to Manage Through Change, with comprehensive and proactive solutions and audits designed by Real, Reliable consultants, and tailored to our clients' Strategic needs.

Contact Us

Renaissance Regulatory Services, Inc.
(561) 368-2245
Info@RRSCompliance.com
625 Southeast 10th Street,
Building Three
Deerfield Beach, Florida 33441

Renaissance Regulatory Services, Inc.

www.RRSCompliance.com